FACTS	**WHAT DOES DMG SECURITIES, INC. DO WITH YOUR PERSONAL INFORMATION?**

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: ● **Social Security Number** and **Income** ● **Investment Experience** and **Assets** ● **Employment Information** and **Risk Tolerance** When you are *no longer* our customer, we continue to share your information as described in this notice.

How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons DMG Securities, Inc. chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does DMG Securities, Inc. share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	**YES**	**NO**
For our marketing purposes – to offer our products and services to you	**NO**	**We don't share**
For joint marketing with other financial companies	**NO**	**We don't share**
For our affiliates' everyday business purposes – information about your transactions and experiences	**NO**	**We don't share**
For our affiliates' everyday business purposes – information about your creditworthiness	**NO**	**We don't share**
For non-affiliates to market to you	**NO**	**We don't share**

Questions?	Call **703-757-9900** or go to **www.dmgsecurities.com**

Who we are

Who is providing this notice?	**DMG Securities, Inc.**

What we do

How DMG Securities, Inc. protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We maintain safeguards that comply with federal standards to protect nonpublic personal information of our customers, including procedures to assure appropriate access to, and use of, information about our customers.
How does DMG Securities, Inc. collect my personal information?	We collect your personal information, for example, when you **Seek advice about your investments** or **Enter into an investment advisory contract** **Show your driver's license** or **Give us your contact information** **Tell us about your investment or retirement portfolio** We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only - sharing for affiliates' everyday business purposes-information about your creditworthiness - affiliates from using your information to market to you - sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. *DMG Securities, Inc. does not have any affiliates.*
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. *DMG Securities, Inc. will share with non-affiliates but not for marketing purposes.*
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. *DMG Securities, Inc. does not jointly market.*

Other important information